

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant

: Burrows et al.

Serial No.

: 09/966,163

Filed

: September 28, 2001

Title

: METHOD FOR EDGE SEALING BARRIER FILMS

Docket:

: VIT 0012 PA/40926.13

Art Unit

: 1772

Examiner

: S.F. Hon

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box

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Attorney - Patricia L. Prior

Reg. No. 33,758

MAIL STOP AF

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

NOTICE OF APPEAL

Applicants hereby appeal to the Board of Patent Appeals and Interferences from the final rejection mailed March 16, 2004, in which claims 1-12, 14-19 and 21 were rejected and claim 13 was objected to. Enclosed is our check in the amount of \$ 330.00 which includes the fee for a Notice of Appeal under 37 C.F.R. §1.17(b).

Respectfully submitted, DINSMORE & SHOHL LLP

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

(Complete (if applicable))

Date

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06/14/2004

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Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 330.00

SUBMITTED BY

Name (Print/Type)

Signature

Patricia L. Prior

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|--------------------------------|---|----------------|
| Co | omplete if Known | |
| Application Number | 09/966,163 | |
| Filing Date | September 28, 2001 | |
| First Named Inventor | Paul E. Burrows | |
| Examiner Name | Sow Fun Hon | |
| Art Unit | 1772 | |
| Attorney Docket No. | VIT 0012 PA/40926.13 | |

| METHOD OF PAYMENT (check all that apply) | FEE CALCULATION (continued) | | | | |
|---|--|--|--|--|--|
| ✓ Check ☐ Credit card ☐ Money ☐ Other ☐ None | 3. ADDITIONAL FEES | | | | |
| Deposit Account: | Large Entity Small Entity | | | | |
| Deposit | Fee Fee Fee Fee Fee Description | Fee Paid | | | |
| Account Number | 1051 130 2051 65 Surcharge - late filing fee or oath | | | | |
| Deposit Account | 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet | | | | |
| Name | 1053 130 1053 130 Non-English specification | | | | |
| The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments | 1812 2,520 1812 2,520 For filing a request for ex parte reexamination | | | | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action | | | | |
| Charge fee(s) indicated below, except for the filing fee | 1805 1,840* 1805 1,840* Requesting publication of SIR after | | | | |
| to the above-identified deposit account. | Examiner action | | | | |
| FEE CALCULATION | 1251 110 2251 55 Extension for reply within first month | | | | |
| 1. BASIC FILING FEE | 1252 420 2252 210 Extension for reply within second month | | | | |
| Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid | 1253 950 2253 475 Extension for reply within third month | | | | |
| Code (\$) Code (\$) | 1254 1,480 2254 740 Extension for reply within fourth month | | | | |
| 1001 770 2001 385 Utility filing fee | 1255 2,010 2255 1,005 Extension for reply within fifth month | 330 | | | |
| ,1002 340 2002 170 Design filing fee | 1401 330 2401 165 Notice of Appeal | 330 | | | |
| 1003 530 2003 265 Plant filing fee | 1402 330 2402 165 Filing a brief in support of an appeal | | | | |
| 1004 770 2004 385 Reissue filing fee | 1403 290 2403 145 Request for oral hearing | | | | |
| 1005 160 2005 80 Provisional filing fee | 1451 1,510 1451 1,510 Petition to institute a public use proceeding | | | | |
| SUBTOTAL (1) (\$) -0- | 1452 110 2452 55 Petition to revive - unavoidable | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1453 1,330 2453 665 Petition to revive - unintentional | | | | |
| Fee from Extra Claims below Fee Paid | 1501 1,330 2501 665 Utility issue fee (or reissue) | | | | |
| Total Claims X | 1502 480 2502 240 Design issue fee 1503 640 2503 320 Plant issue fee | | | | |
| Independent Claims - 3** = X = | 1460 130 1460 130 Petitions to the Commissioner | | | | |
| Multiple Dependent = | 1807 50 1807 50 Processing fee under 37 CFR 1.17(g) | | | | |
| Large Entity Small Entity | 1806 180 1806 180 Submission of Information Disclosure Stmt | | | | |
| Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) | Recording each patent assignment per | | | | |
| 1202 18 2202 9 Claims in excess of 20 | 1809 770 2809 385 Filing a submission after final rejection | | | | |
| 1201 86 2201 43 Independent claims in excess of 3 | (37 CFR 1.129(a)) | | | | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) | | | | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 770 2801 385 Request for Continued Examination (RCE) | | | | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 900 1802 900 Request for expedited examination of a design application | | | | |
| SUBTOTAL (2) (\$) -0- | Other fee (specify) | | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) | 30.00 | | | |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

33,758